## Express Mail No. EQ 060217543 US

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 21902US (C038435/0198732)

**CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP2004/013734 2 December 2004 4 December 2003 TITLE OF INVENTION MICROCAPSULES WITH UV FILTER ACTIVITY AND PROCESS FOR PRODUCING THEM APPLICANT(S) FOR DO/EO/US Katja BERG-SCHULTZ Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. X The US has been elected (Article 31).  $\boxtimes$ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). <sub>7.</sub> 🗵 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. L A power of attorney and/or change of address letter. 17. L A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18 L A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. l A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Other items or information: Certificate of Express Mailing (1 page); and Return Postcard.

IAP20 Rec'd PCT/PTO 0 1 JUN 2006 (Rev. 12-2004) Approved for use through 3/31/2007. OMB 0651-0021

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/EP2004/013734 21902US (C038435/0198732) Applicant use Office use only | a) Basic national fee......\$300.00 300.00 b) Examination fee.....\$200.00 200.00 500.00 1,000.00 TOTAL OF ABOVE CALCULATIONS = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. RATE **Total Sheets** Extra sheets Number of each additional 50 or fraction thereof (round up to a whole number) - 100 = /50 = x \$250.00 44 0 0 \$ 0.00 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). **CLAIMS** NUMBER FILED **NUMBER EXTRA RATE** \$ Total claims 24 4 \$50.00 \$ 200.00 Independent claims 3 0 \$200.00 \$ 0.00 х MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360.00 \$ 0.00 TOTAL OF ABOVE CALCULATIONS = 1,200,00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced 0.00 1,200.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest 0.00 claimed priority date (37 CFR 1.492(f)). **TOTAL NATIONAL FEE =** 1,200.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied 0.00 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = 1,200.00 Amount to be refunded: Amount to be charged  $\times$ 1,200.00 A check in the amount of \$ to cover the above fees is enclosed. in the amount of \$ 1,200.00 to cover the above fees. Please charge my Deposit Account No. A duplicate copy of this sheet is enclosed. c. 🔀 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit 02-4467 \_. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Stephen M. Haracz, Esq. BRYAN CAVE LLP Stephen J. Brown 1290 Avenue of the Americas NAME New York, New York 10104

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43,519

REGISTRATION NUMBER

## 10/581511 AP20 Rec'd PCT/PTO 01 JUN 2006

Docket No. 21902 US (C038435/0198732)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ACTING AS DESIGNATED/ELECTED OFFICE (DO/EO/US) UNDER THE PATENT COOPERATION TREATY CONCERNING A FILING UNDER 35 U.S.C. § 371

For:	MICROCAPSULES WITH UV FILTER ACTIVITY AND PROCESS FOR PRODUCING THEM				
Filed:		Herewith	)	,	rior you accigned
International Filing Date:		2 December 2004	)	Art Unit:	Not yet assigned
Based	on Int'l Application No.:	PCT/EP2004/013734	)	Examiner:	Not yet assigned
Katja	BERG-SCHULTZ		)		
In re I	Application of:		)		

New York, New York June 1, 2006

## **CERTIFICATE OF EXPRESS MAILING**

"Express Mail" Mailing Label No.: EQ 060217543 US

Date of Deposit: June 1, 2006

I hereby certify that the following:

- [x] Certificate of Express Mailing (1 page)
- [x] Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. § 371 (2 pages) in duplicate
- [x] Information Disclosure Statement and PTO-1449 (7 pages, including duplicate page 4), with International Search Report, Written Opinion of the International Searching Authority, and copies of documents
- [x] Preliminary Amendment (15 pp, including duplicate page 1)
- [x] Check for \$1,200.00 (National fee)
- [x] Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the Date of Deposit indicated above in an envelope addressed to Mail Stop PCT, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Andrew Dobson

Signature of Person Making Deposit

BRYAN CAVE LLP 1290 Avenue of the Americas New York, New York 10104 (212) 541-2000